Prácitioner's Docket No. <u>MPI03-025P1RNM</u>

**PATENT** 

FEB 2 2 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The application of:

Xu, Haiyan, et al.

Application No.:

10/764,649

Group No.:

1646

Filed:

January 26, 2004

Examiner:

Howard, Zachary C.

For:

METHODS AND COMPOSITIONS FOR USE OF INFLAMMATORY PROTEINS

IN THE DIAGNOSIS AND TREATMENT OF METABOLIC DISORDERS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed:

Within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

OR

() After three months of the filing date of this national application or the date of entry of the national
stage as set forth in Section 1.491 in an international application or after the mailing date of the first
Office action on the merits, whichever event occurred last but before the mailing date of either:

#### CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) and 1.10\*

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. SECTION 1.8(a)

37 C.F.R. SECTION 1.10\*

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Signature

Date: February 17, 2005

Sean Hunziker

(type or print name of person certifying)

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<ol> <li>a final action under Section 1.113,</li> <li>a notice of allowance under Section 1.311, or</li> <li>an action that otherwise closes prosecution in the application</li> </ol>
whichever occurs first.
() Accompanying this transmittal is the fee set forth in 37 C.F.R. Section 1.17(p) for submission of an information disclosure statement under Section 1.97(c). (\$180.00).
() Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(1).
() No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(2).
OR
() The information disclosure statement transmitted herewith is being filed <i>after</i> a final action under Section 1.113, or a notice of allowance under Section 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
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A. () Accompanying this transmittal is the fee set forth in 37 C.F.R. Section 1.17(p) for submission of an information disclosure statement under Section 1.97(c). (\$180.00).
B. [] Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(1).
C. () No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to the knowledge of the person signing the statement after making reasonable inquiry, was known to any individual designated in Section 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(2).

#### **FEE PAYMENT**

3.		The fee due is set forth in 37 C.F.R. Section 1.17(p) for submission of an information disclosur tement under Section 1.97(c) (\$180.00).
	(X)	Applicant believes no fee is due in connection with this submission.
		Fee due _\$0.00
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4.		[ ] Attached is a check in the amount of \$ [ ] Charge Account No. 501668 in the amount of  [X] A duplicate of this request is attached.
lfa	any a	dditional fees are due, please charge Account 501668.
<u>Fe</u>	<u>bruar</u>	MILLENNIUM PHARMACEUTICALS, INC.  By  Kerri Pollard Schray Registration No. 47,066 40 Landsdowne Street Cambridge, MA 02139 Telephone – (617) 551-3676 Facsimile – (617) 551-8820

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

#### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. (X) Preliminary Statements
- 2. (X) Form PTO/SB/08B (substitute for Form PTO-1449) (3 pages)
- 3. (x) Copies of Listed Information Items Accompanying This Statement (22 references)

#### CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) and 1.10\*

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Signature

Date: February 17, 2005

Sean Hunziker

(type or print name of person certifying)

#### **Preliminary Statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

#### Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Form PTO/SB/08B (substitute for Form PTO-1449) accompany this information statement.

February 17, 2005

MILLENNIUM PHARMACEUTICALS, INC.

Kerri Pollard Schray

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Cambridge, MA 02139

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Please type a plu	ıs sign (+) inside th	is box $\longrightarrow$
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PTO/SB/08B (10-96)

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Substitute for form 1449B/PTO

Sheet

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## INFORMATION DIS STATEMENT BY APP

(use as many sheets as necessary) of 3

Com	plete if Known	:
Application Number	10/764,649	
Filing Date	January 26, 2004	
First Named Inventor	Xu, Haiyan, et al.	
Group Art Unit	1646	
Examiner Name	Howard, Zachary C.	
Attorney Docket Number	MPI03-025P1RNM	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include the name of the author (in CAPTIAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.	T <sup>2</sup>		
	C1	Nishina, P.M. et al. (1994), Metab. 43:554-558			
	C2	Grundy, S. M. & Barnett, J.P. (1990), Dis. Mon. 36:641-731			
	C3	Kahn et al., (1996) Annu. Rev. Med. 47:509-531			
	C4	Friedman, J. M. et al. (1991) Mamm. Gen. 1:130-144			
,	C5	Friedman, J. M. and Liebel, R. L. (1992) Cell 69:217-220			
	C6	Bray, G. A. (1989) Amer. J. Clin. Nutr. 5:891-902			
	C7	Chen, H. et al. (1996) Cell 84:491-5			
	C8	Chua SC Jr et al. (1996) Science 271:994-6			
<u>.                                    </u>	C9	Lee, G.H. et al (1996) <i>Nature</i> 379:632-5			
	C10	MacDougald, O.A. et al (1995) Proc. Natl. Acad. Sci. USA 92:9034-7			

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Unique citation design number. <sup>2</sup>Applicant is to place a check mark here is English language Translation is attached.

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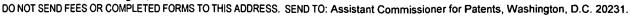
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Substitute	e for form 1449B/P1	то		Complete if Known		
INFC	RMATIO	N DIS	CLOSURE	Application Number	10/764,649	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	January 26, 2004	
				First Named Inventor	Xu, Haiyan, et al.	
				Group Art Unit	1646	
(use as many sheets as necessary)				Examiner Name	Howard, Zachary C.	
Sheet	2	of	3	Attorney Docket Number	MPI03-025P1RN	

	1	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include the name of the author (in CAPTIAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.	T <sup>2</sup>
	C11	Stubdal H. et al. (2000) Mol. Cell Biol. 20(3):878-82	
	C12	Abadie J.M. et al. Lipids (2000) 35(6):613-20	
<u> </u>	C13	Shaughnessy S. et al. (2000) Diabetes 49(6):904-11	
·	C14	Loskutoff D.J. et al. (2000) Ann. N. Y. Acad. Sci. 902:272-81	
	C15	Alleva et al. (2001) J. Clin. Invest. 107:173-180	
	C16	Arakawa et al. (2001) Br. J. Pharmacol. 132:578-586	
	C17	Nakamura et al. (2001) Diabetes Res. Clin. Pract. 51:9-20	
,	C18	O'Harte et al. (2001) Regul. Pept. 96:95-104	
	C19	Yamanouchi et al. (2000) Exp. Anim. 49:259-266	

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Substitute	e for form 1449E	3/РТО		Com	plete if Known
INFO	RMATI	ON DIS	SCLOSURE	Application Number	10/764,649
				Filing Date	January 26, 2004
SIA	IEMEN	IBYA	PPLICANT	First Named Inventor	Xu, Haiyan, et al.
				Group Art Unit	1646
(use as many sheets as necessary)				Examiner Name	Howard, Zachary C.
Sheet	3	of	3	Attorney Docket Number	MPI03-025P1RN

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Include the name of the author (in CAPTIAL LETTERS), title of the article (when appropriate), title of the item

(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher,

Initials*	No.'	city and-or country where published.	
	C20	Hoenig et al. (2000) Am. J. Pathol. 157:2143-2150	
	C21	Reed et al. (2000) Metabolism 49:1390-1394	
	C22	Clark et al. (2000) J. Pharmacol. Toxicol. Methods 43:1-10	
Examiner		Date	1

Considered

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